UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. MA9658DIV2

Total Pages in this Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application Washington, D.C. 20231

| Transmi nvention | | | filing under 35 | U.S. | .C. 111(a) and 37 | C.F.R. 1.53 | (b) is a new utility patent ap | oplication for an |
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| If a CO | NTINUA | ATION A | PPLICATION, | chec | k appropriate box | and supply | the requisite information: | |
| ☐ Co | ntinuat | tion 🗵 | Divisional | | Continuation-in | -part (CIP) | of prior application No.: | 10/316,127 |
| Which is | · | | | | | | | • |
| | ntinuat | tion - | l Divisional | Ļ | Continuation-in | -part (CIP) | of prior application No.: | · · · · · · · · · · · · · · · · · · · |
| Which is | | ion 🗆 | Divisional | | Continuation-in | -part (CIP) | of prior application No.: | |
| Enclose | ed are: | | | • | | | • | |
| 21101030 | | | | | Application I | Elements | | |
| 1. [| ☐ Filir | ng fee as | calculated and | d tran | smitted as descrit | ped below | · . | |
| 2. | ⊠ Spe | cification | having | . | 60 | pages and ir | ncluding the following: | |
| • | a. 🛚 | Descrip | otive Title of the | e Inve | ention | | · | |
| | b. 🗆 | Cross F | References to I | Relate | ed Applications (if | applicable) | | |
| | c. Statement Regarding Federally-sponsored Research/Development (if applicable) | | | | | | | |
| | d. 🔲 | Refere | nce to Sequen | ce Lis | ting, a Table, or a | Computer I | Program Listing Appendix | |
| | e. 🗵 | Backgr | ound of the Inv | entio | n | | | |
| | f. 🗵 Brief Summary of the Invention | | | | | | | |
| | g. 🗵 | Brief De | escription of th | e Dra | wings (if filed) | | | |
| | h. 🗵 | Detaile | d Description | | | • | | |
| | i. 🗵 | Claim(s | s) as Classified | Belo | w | | | |
| | j. 🛛 | Abstrac | ct of the Disclo | sure | | | | |
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| | | Application Elements (Continued) | | | | | | |
|--------------------------------|---|--|--|--|--|--|--|--|
| 3. | \boxtimes | Drawing(s) (when necessary as prescribed by 35 USC 113) | | | | | | |
| | a. | | | | | | | |
| | b. | ☐ Informal Number of Sheets | | | | | | |
| 4. | X | Oath or Declaration | | | | | | |
| | a. | ☐ Newly executed (original or copy) ☐ Unexecuted | | | | | | |
| | b. | ☑ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only) | | | | | | |
| | C. | ☑ With Power of Attorney ☐ Without Power of Attorney | | | | | | |
| | d. | DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b). | | | | | | |
| 5. | Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | | | | | | | |
| 6. | | CD ROM or CD-R in duplicate, large table or Computer Program (Appendix) | | | | | | |
| 7. 8. | | Application Data Sheet (See 37 CFR 1.76) Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included) | | | | | | |
| | a. | ☐ Computer Readable Form (CFR) | | | | | | |
| | b. | ☐ Specification Sequence Listing on: | | | | | | |
| | | i. ☐ CD-ROM or CD-R (2 copies); or · | | | | | | |
| | | ii. 🔲 Paper . | | | | | | |
| | C. | ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy | | | | | | |
| Accompanying Application Parts | | | | | | | | |
| 9. | | Assignment Papers (cover sheet & document(s)) | | | | | | |
| 10. | . 🗆 | 37 CFR 3.73(B) Statement (when there is an assignee) | | | | | | |
| 11. | | English Translation Document (if applicable) | | | | | | |
| 12. | | Information Disclosure Statement/PTO-1449 Copies of IDS Citations | | | | | | |
| 13. | \boxtimes | Preliminary Amendment | | | | | | |
| 14. | \boxtimes | Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | | | | | | |
| 15. | | Certified Copy of Priority Document(s) (if foreign priority is claimed) | | | | | | |
| 16. | \boxtimes | Certificate of Mailing | | | | | | |
| | | ☐ First Class ☒ Express Mail (Specify Label No.): EL652802410US | | | | | | |
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Total Pages in this Submission

| | | Accompanying Application Parts (Continued) |
|----|---|--|
| 7. | X | Applicant claims small entity status. See 37 CFR 1.27. |
| | , | (Optional) Small Entity Statement(s) - Specify Number of Statements Submitted: |
| 3. | | Additional Enclosures (please identify below): |
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| | | • |
| | • | Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2) |
| | , | Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application. |
| | | Warning |
| | | An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional. |
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Docket No. **MA9658DIV2**

Total Pages in this Submission

Fee Calculation and Transmittal

CLAIMS AS FILED

| For | #Filed | #Allowed | #Extra | | Rate | Fee |
|-------------------|-----------------|------------------|--------|---|----------------|--------------------|
| Total Claims | 4 | - 20 = | 0 | x | \$9.00 | \$0.00 |
| Indep. Claims | 1 | - 3 = | 0 | x | \$42.00 | \$0.00 |
| Multiple Dependen | t Claims (check | c if applicable) | | | - | . \$0.00 |
| | | | | | BASIC F | EE \$370.00 |
| OTHER FEE (spec | cify purpose) | | | | | \$0.00 |
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to cover the filing fee is enclosed.

 ★ The Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed.

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\$370.00

as filing fee.

- ☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: July 7, 2003

Signature

Kenton R. Mullins Stout, Uxa, Buyan & Mullins, LLP 4 Venture, Suite 300 **Irvine, CA 92618**

CC:

Docket: MA9658DIV2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re a | pplication: | / | |
|---------|------------------------------|---|-------------------------------|
| · | Fraser et al. | / | BOX PATENT APPLICATION |
| | | / | |
| Filed: | Herewith | / | |
| | | / | |
| For: | SYSTEMS AND METHODS FOR | / | |
| | TREATING PATIENTS WITH | / | |
| | PROCESSED LIPOASPIRATE CELLS | / | |
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EXPRESS MAIL MAILING LABEL NO. ELL52802410US

Date of Deposit: July 7, 2003

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I hereby certify that the following documents as identified below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under CFR 1.10 on the date indicated above and are addressed to: Box: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

- Return Receipt Postcard; 1.
- Transmittal letter; 2.
- Specification/Claims/Abstract 60 pages; 3.
- 7 sheets of drawings; 4.
- 5. Preliminary Amendment;
- Declaration for Patent Application. 6.

Each of the 6 above-identified documents are enclosed herewith.

Respectfully submitted,

Kenton R. Mullins

Registration No. 36,331

4 Venture, Suite 300 Irvine, CA 92618 Telephone 949/450-1750 Facsimile 949/450-1764